

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Application of:

Applicant(s): Masaki et al.

Serial No.: 09/808,540

Filed: March 14, 2001

For: METHOD OF CONTROLLING  
 ROTATION OF OPTICAL RECORDING  
 MEDIUM AT TWO OR MORE  
 ROTATIONAL SPEEDS, AND  
 STORAGE APPARATUS EMPLOYING  
 SAME METHOD (As amended)

Art Unit: 2655

Examiner: Patel, Gautam

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

) Conf. No.: 3816

)  
 ) I hereby certify that this paper is being deposited with the  
 ) United States Postal Service as FIRST-CLASS mail in an  
 ) envelope addressed to: Mail Stop NON-FEE AMENDMENT  
 ) Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
 ) 22313-1450, on this date.

) February 12, 2004

) Date

  
 Attorney for Applicant(s)  
 Registration No. 29,367

*Q10DS*  
*2/25/04*

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FEB 23 2004

Technology Center 2600

Dear Sir:

This IDS is submitted under 37 C.F.R. 1.97(d), after the mail date of either a Final Office Action or a Notice of Allowance (whichever occurs first), with both:

- (a) a statement under 37 C.F.R. 1.97(e); and
- (b) the \$180.00 fee under 37 C.F.R. 1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. 1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

**Statement Under 37 C.F.R. §1.97(e):**

The enclosed references were cited in a Communication issued by the Japanese Patent Office (copy enclosed). Applicant(s) hereby certify that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

Applicant(s) hereby certify that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. 1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

February 12, 2004

Respectfully submitted,

GREER, BURNS &amp; CRAIN, LTD.

By: 

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Form PTO-1449 <small>(Rev. 8-88)</small>	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: 0941.65295	Serial No.: 09/808,540
<b>INFORMATION DISCLOSURE CITATION</b> <small>(Use several sheets if necessary)</small>		Applicant: Masaki et al.	
		Filing Date: March 14, 2001	Group: 2655

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner**

**Date Considered**

\*Examiner:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.